Appendix 1

to minutes #4 of the Tariff Committee

of SkyBridge Invest JSC

dated August 23, 2023

Effective October 3, 2023

**SkyBridge Invest JSC’s Brokerage and Nominee Holding Services Tariffs Applicable to Resident Legal Entities**

| **Transaction type** | **Corporate customer tariffs** |
| --- | --- |
| **Nominee holding services** | |
| Opening /management/closing of a customer account | For free |
| Putting financial instruments in/withdrawal from nominee holding | * Putting a financial instrument of any type – for free * Withdrawal of securities from (per type identified by NIN/ISIN) – 10 MCI, * Withdrawal of funds (per transfer transaction) –1 MCI |
| Issue of periodic statements - on a monthly basis (statement of customer account as of the end of month, customer account history report for the reporting month and other statements as required by the laws of the RoK) | For free |
| Conversion of a financial instrument (conversion from depositary receipts to shares, from shares to depositary receipts) | 1.5 Tenge per depositary receipt, at least 8 MCI |
| Registration of an encumbrance/pledge | 0.2% of the transaction amount, at least 50 MCI |
| Dematerialization of a financial instrument | 0.02% of the financial instrument’s market value, at least 50 MCI |
| Proxy voting on corporate actions | 5 MCI |
| **Brokerage services** | |
| Purchase/sale of financial instruments through the Kazakhstan Stock Exchange/AIFC Exchange - depending on the transaction amount | * 0.10%, at least 3 MCI |
| Purchase/sale of financial instruments in the over-the-counter/international market - depending on the transaction amount | * below 500 million Tenge – 0.25%, at least 7 MCI * from 500 million Tenge to 1 billion Tenge – 0.15%, * over 1 billion Tenge – 0.1% |
| REPO transactions   * in case of a reverse REPO operation (placement of funds) – as a percentage of the customer’s income; * in case of a REPO operation (raising funds) – as a percentage of the difference between the closing and opening volumes | ◾ 0.5% of the amount of income within one client order, at least 2 MCI per order  ◾1% of the amount of income within one client order, at least 3 MCI per order |
| Currency conversion at KASE | 0.05% of the transaction amount, at least 1 MCI |

***Notes***

* Tariff calculations use the monthly calculation indicator (MCI), established by the Law of the Republi of Kazakhstan “On the Republican Budget” for the corresponding calendar year.
* The above rates and tariffs are exclusive of the rates and tariffs of any third parties, i.e. other professional market participants. The tariffs of Kazakhstan Stock Exchange JSC, Central Securities Depository JSC, custodian banks, second-tier banks, AIFC Exchange, AIFC Depository, and other professional market participants shall be paid by the Customer separately according to invoices issued by the Company stating such reimbursable expenses, other than any fees of the international intermediary brokers, stamp duties of the foreign stock exchanges and other commissions included in the transactions’ amount. Any duties, taxes and fees that shall be withheld from the transactions in compliance with the legislation of the country where such transactions are effected, shall be payable by the Client and will not be deemed an obligation of the Company.
* The Company's remuneration for transactions shall be calculated depending on the total volume of that part of the customer’s order that is fulfilled. So, if two or more transactions are concluded under a single order, the fees shall be calculated based on the total amount of the transactions concluded under such order.
* The tariffs shall not be applicable to any transactions conducted because of the Company’s underwriting activities.
* If a customer is rendered the financial consultant and/or underwriter services by the Company and for this purpose receives the services of the Company as a broker, according to an agreement with such customer, brokerage fees may be included in the total cost of the services received from the Company as specified in the financial advisor and/or underwriter service agreement.
* The fees shall be accrued and charged from the monthly trading volume, except for any repo transactions and operations with derivatives, as well as third parties’ commissions.
* The customer's trading volume means the total net volume of the Customer's transactions for the purchase/sale of financial instruments (excluding the volume of any repo transactions and operations with derivatives, as well as third parties’ commissions) over a period of time.

The fees from the trading volume in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the last calendar day of the month the accrual relates to. The fees from transactions in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the actual transaction settlement date.

Appendix 2

to minutes #4 of the Tariff Committee

of SkyBridge Invest JSC

dated August 23, 2023

Effective October 3, 2023

**SkyBridge Invest JSC’s Brokerage and Nominee Holding Services Tariffs Applicable to Nonresident Legal Entities.**

| **Transaction type** | **Corporate customer tariffs** |
| --- | --- |
| **Nominee holding services** | |
| Opening /management/closing of a customer account | For free |
| Putting financial instruments in/withdrawal from nominee holding | * Putting a financial instrument of any type – 0.05% of the securities’ fair market value\*, at least USD 10 * Withdrawal of a financial Instrument of any type – 0.05% of the securities’ fair market value\*, at least USD 10 * Withdrawal of funds (per transfer transaction) – 0.35%, at least USD 50 * ***\*****If no fair market value is available, a fixed rate agreed by the parties shall be applicable.* |
| Issue of periodic statements - on a monthly basis (statement of customer account as of the end of month, customer account history report for the reporting month and other statements as required by the laws of the RoK) | For free |
| Issue of customer-defined reports as and when required – per report | USD 20 |
| Conversion of a financial instrument (conversion from depositary receipts to shares, from shares to depositary receipts) | 1.5 cents per depositary receipt, at least USD 20 |
| Registration of an encumbrance/pledge | 0.2% of the transaction amount, at least USD 20 |
| Dematerialization of a financial instrument | 0.02% of the financial instrument’s fair market value, at least USD 200 |
| Proxy voting on corporate actions | USD 70 |
| Safekeeping of securities at Central Securities Depository JSC - depending on the volume of assets | 0.03% p.a. |
| Safekeeping of securities at local custodian banks - depending on the volume of assets | 0.2% p.a. |
| **Brokerage services** | |
| Purchase/sale of financial instruments through the Kazakhstan Stock Exchange/AIFC Exchange - depending on the transaction amount | 0.3%, at least USD 20 |
| Purchase/sale of financial instruments in the over-the-counter/international market - depending on the transaction amount | 0.5% of the transaction amount, at least USD 100 |
| Currency conversion at KASE | 0.05% of the transaction amount, at least 1 MCI |
| REPO transactions   * in case of a reverse REPO operation (placement of funds) – as a percentage of the customer’s income; * in case of a REPO operation (raising funds) – as a percentage of the difference between the closing and opening volumes | ◾ 1% of the amount of income within one client order, at least USD 10  ◾ 2% of the amount of income within one client order, at least USD 20 |

***Notes***

* Tariff calculations use the monthly calculation indicator (MCI), established by the Law of the Republic of Kazakhstan “On the Republican Budget” for the corresponding calendar year.
* The above rates and tariffs include the rates and tariffs of third parties, which are, that is, other professional market participants in transactions and storage of securities, namely: Tariffs of Kazakhstan Stock Exchange JSC, Central Securities Depository JSC, custodian banks, second-tier banks, AIFC exchange, AIFC Depository, international intermediary brokers and other commissions included in the transaction amount.
* The above tariffs do not include:

The Stamp Duty at the rate of 0.2%/1.5% of the transaction amount depending on the SDRT\* regime. \*Stamp Duty Reserve Tax

Form W8-BEN filing fee.

Other commissions of third parties that were not provided above.

* Any duties, taxes and fees that shall be withheld from the transactions in compliance with the legislation of the country where such transactions are effected, shall be payable by the Client and will not be deemed an obligation of the Company.
* The Company's remuneration for transactions shall be calculated depending on the total volume of that part of the customer’s order that is fulfilled. So, if two or more transactions are concluded under a single order, the fees shall be calculated based on the total amount of the transactions concluded under such order.
* The Company's remuneration for safekeeping financial instruments is accrued at the official exchange rate of the National Bank of the Republic of Kazakhstan on the date of accrual of the remuneration. In the absence of market quotations, the nominal value in the currency of the issue is used as the market value.
* The Company shall be entitled, in a unilateral manner, to convert the funds from a foreign currency to the national one in an amount sufficient to make any payments obligations, at the exchange rate established by the servicing bank as of the conversion date.

Appendix 3

to minutes #4 of the Tariff Committee

of SkyBridge Invest JSC

dated August 23, 2023

Effective October 3, 2023

**SkyBridge Invest JSC’s Brokerage and Nominee Holding Services Tariffs Applicable to Resident Individuals**

| **Transaction type** | **Individual tariffs** | |
| --- | --- | --- |
| **Nominee holding services** | | |
| Opening /management/closing of a customer account | For free | |
| Putting financial instruments in/withdrawal from nominee holding | * Putting a financial instrument of any type – for free, * Withdrawal of securities from (per type identified by NIN/ISIN) – 10 MCI | |
| Money transfer, in Tenge | * Before 01.00 p.m. – 0.15%, up to 1 MCI * After 01.00 p.m. – 0.25%, up to 2 MCI | |
| Money transfer, in a foreign currency | * Before 03.00 p.m. – 0.35%, at least 20,000 Tenge, but not more than 135,000 Tenge * After 03.00 p.m. – 0.55%, at least 60,000 Tenge, but not more than 155,000 Tenge | |
| Issue of periodic statements - on a monthly basis (statement of customer account as of the end of month, customer account history report for the reporting month and other statements as required by the laws of the RoK) | For free | |
| Conversion of a financial instrument (conversion from depositary receipts to shares, from shares to depositary receipts) | 1.5 Tenge per depositary receipt, at least 5 MCI | |
| Registration of an encumbrance/pledge | 0.2% of the transaction amount, at least 5 MCI | |
| Dematerialization of a financial instrument | 0.02% of the financial instrument’s fair market value, at least 15 MCI | |
| Proxy voting on corporate actions | 5 MCI | |
| Safekeeping of securities at Central Securities Depository JSC - depending on the volume of assets | 0.03% p.a. | |
| Safekeeping of securities at custodian banks - depending on the volume of assets | 0.2% p.a. | |
| **Brokerage services** | | |
|  | **If paper-based instructions are given** | **Electronically via a trading platform** |
| Purchase/sale of financial instruments in the local markets - depending on the transaction amount | 0.15%, at least 2 MCI | 0.1% of amount, at least 1 MCI |
| Purchase/sale of financial instruments in the over-the-counter/international market - depending on the transaction amount | * 0.25% if up to 45 million Tenge, at least 3 MCI * 0.15% if over 45 million Tenge | 0.15% of the amount,  at least 2 MCI |
| REPO transactions  in case of a reverse REPO operation (placement of funds) – as a percentage of the customer’s income;  in case of a REPO operation (raising funds) – as a percentage of the difference between the closing and opening volumes | 0.5% of the amount of income within one client order,  at least 2 MCI per order  1% of the amount of income within one client order,  at least 2 MCI per order | |
| Currency conversion at the exchange – depending on the conversion volume | 0.05% of the transaction amount, at least 1 MCI | |

***Notes***

* To calculate the tariffs, the MCI applicable to the relevant calendar year shall be used.
* The above rates and tariffs include the rates and tariffs of any third parties, i.e. other professional market participants. The tariffs of Kazakhstan Stock Exchange JSC, Central Securities Depository JSC, custodian banks, second-tier banks, AIFC Exchange, AIFC Depository, and other professional market participants shall be paid by the Customer separately according to invoices issued by the Company stating such reimbursable expenses, other than any fees of the international intermediary brokers, stamp duties of the foreign stock exchanges and other commissions included in the transactions’ amount. Any duties, taxes and fees that shall be withheld from the transactions in compliance with the legislation of the country where such transactions are effected, shall be payable by the Client and will not be deemed an obligation of the Company.
* The Company's remuneration for transactions shall be calculated depending on the total volume of that part of the customer’s order that is fulfilled. So, if two or more transactions are concluded under a single order, the fees shall be calculated based on the total amount of the transactions concluded under such order.
* The tariffs shall not be applicable to any transactions conducted because of the Company’s underwriting activities.
* If a customer is rendered the financial consultant and/or underwriter services by the Company and for this purpose receives the services of the Company as a broker, according to an agreement with such customer, brokerage fees may be included in the total cost of the services received from the Company as specified in the financial advisor and/or underwriter service agreement.
* The fees shall be accrued and charged from the monthly trading volume, except for any repo transactions and operations with derivatives, as well as third parties’ commissions.
* The customer's trading volume means the total net volume of the Customer's transactions for the purchase/sale of financial instruments (excluding the volume of any repo transactions and operations with derivatives, as well as third parties’ commissions) over a period of time.

The fees from the trading volume in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the last calendar day of the month the accrual relates to. The fees from transactions in the international market shall be accrued in Tenge at the official rate established by the National Bank of the Republic of Kazakhstan as of the actual transaction settlement date.

Appendix 4

to minutes #4 of the Tariff Committee

of SkyBridge Invest JSC

dated August 23, 2023

Effective October 3, 2023

**SkyBridge Invest JSC’s Brokerage and Nominee Holding Services Tariffs Applicable to Nonresident Individuals**

| **Transaction type** | **Tariffs applicable to individuals being nonresidents of the RoK** |
| --- | --- |
| **Nominee holding services** | |
| Opening /management/closing of a customer account | For free |
| Putting financial instruments in/withdrawal from nominee holding | * Putting a financial instrument of any type – 0.05% of the securities’ fair market value\*, at least USD 10 * Withdrawal of a financial Instrument of any type – 0.05% of the securities’ fair market value\*, at least USD 10 * Withdrawal of funds (per transfer transaction) – 0.35%, at least USD 50, no more than 500 USD   ***\*****If no fair market value is available, a fixed rate agreed by the parties shall be applicable.* |
| Issue of periodic statements - on a monthly basis (statement of customer account as of the end of month, customer account history report for the reporting month and other statements as required by the laws of the RoK) | For free |
| Issue of customer-defined reports as and when required – per report | USD 20 |
| Conversion of a financial instrument (conversion from depositary receipts to shares, from shares to depositary receipts) | 1.5 cents per depositary receipt, at least USD 20 |
| Registration of an encumbrance/pledge | 0.2% of the transaction amount, at least USD 20 |
| Dematerialization of a financial instrument | 0.02% of the financial instrument’s fair market value, at least USD 200 |
| Proxy voting on corporate actions | USD 70 |
| Safekeeping of securities at Central Securities Depository JSC - depending on the volume of assets | 0.03% p.a. |
| Safekeeping of securities at local custodian banks - depending on the volume of assets | 0.2% p.a. |
| **Brokerage services** | |
| Purchase/sale of financial instruments at KASE and AIX - depending on the transaction amount | 0.3%, at least USD 10 |
| Purchase/sale of shares in the international market without the participation of any Kazakhstani custodian | 0.4% of the transaction amount or USD 20, or 2 cents per share (whichever is higher) |
| Purchase/sale of financial instruments in the over-the-counter/international market with the participation of a Kazakhstani custodian – depending on the transaction amount | 0.5% of the transaction amount, at least USD 30 |
| REPO transactions   * in case of a reverse REPO operation (placement of funds) – as a percentage of the customer’s income;   ◾ in case of a REPO operation (raising funds) – as a percentage of the difference between the closing and opening volumes | ◾ 1% of the amount of income within one client order, at least USD 10  ◾ 2% of the amount of income within one client order, at least USD 20 |
| Currency conversion at the exchange – depending on the conversion volume | 0,05% of the transaction amount, at least 1 MCI |

***Notes***

◾ The above rates and tariffs include the rates and tariffs of third parties, which are, that is, other professional market participants in transactions and storage of securities, namely:. Tariffs of Kazakhstan Stock Exchange JSC, Central Securities Depository JSC, custodian banks, second-tier banks, AIFC exchange, AIFC Depository, international intermediary brokers and other commissions included in the transaction amount.

The above tariffs do not include:

The Stamp Duty at the rate of 0.2%/1.5% of the transaction amount depending on the SDRT\* regime.

\*Stamp Duty Reserve Tax

Form W8-BEN filing fee.

Other commissions of third parties that were not provided above.

* Any duties, taxes and fees that shall be withheld from the transactions in compliance with the legislation of the country where such transactions are effected, shall be payable by the Client and will not be deemed an obligation of the Company.
* The Company's remuneration for transactions shall be calculated depending on the total volume of that part of the customer’s order that is fulfilled. So, if two or more transactions are concluded under a single order, the fees shall be calculated based on the total amount of the transactions concluded under such order.
* The Company's remuneration for safekeeping financial instruments is accrued at the official exchange rate of the National Bank of the Republic of Kazakhstan on the date of accrual of the remuneration. In the absence of market quotations, the nominal value in the currency of the issue is used as the market value.
* The Company shall debit the Customer’s account without further authorization to discharge the Customer’s obligations to pay the fees.
* The Company shall be entitled, in a unilateral manner, to convert the funds from a foreign currency to the national one in an amount sufficient to make any payments obligations, at the exchange rate established by the servicing bank as of the conversion date.